

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ng, et al. Art Unit : 1753
Serial No. : 10/645,022 Examiner : Anthony D. Fick
Filed : August 21, 2003 Conf. No. : 2918
Title : SILICON NANOPARTICLES EMBEDDED IN POLYMER MATRIX

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

On or about July 3, 2007 and March 10, 2008, Applicants paid the required fees for a large entity Petition for Extension of Time by way of Deposit Account No. 06-1050 (copies attached). However, Applicant is entitled to small entity status as evidenced by the attached copy of the Application Transmittal submitted on August 21, 2003.

Accordingly, Applicants respectfully request that the over paid amounts of \$510 and \$525, respectively, be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit please credit.

Respectfully submitted,

Date: 6/3/06

Kelly K. Kordzik
Reg. No. 36,571

Fish & Richardson P.C.
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Facsimile: (512) 320-8935

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. 12179-P112US	
	First Inventor	Kwok Ng
	Title	Silicon Nanoparticles Embedded in Polymer Matrix
	Express Mail Label No. EL823268199US	

APPLICATION ELEMENTS See MPEP chapter 500 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/ISB17) <i>(Check on original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 13] <i>(Indicate on engagement and forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] 5. Oath or Declaration [Total Pages 1] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i> c. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/ISB/35 or its equivalent. 17. <input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Ser Code Label [REDACTED] or ☒ Correspondence address below

Name	Kelly K. Kordzik, Winstead Sechrest & Minick P.C.				
	P.O. Box 60784				
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Country	U.S.A.	Telephone	512-370-2851	Fax	512-370-2850

Name (Print/Type)	Kelly K. Kordzik	Registration No. (Attorney/Agent)	36,571
Signature	[Signature]	Date	08/21/2003

Guardian Hour Statement: This form is estimated to take 9-12 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PETITION FOR THREE-MONTH EXTENSION OF TIME

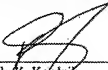
Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated September 18, 2007, be extended for three months to and including March 18, 2008.

Please charge Deposit Account No. 06-1050 in the amount of \$1050 for the required fee.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 10, 2008



Kelly K. Kordzik
Reg. No. 36,571

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